Lieb polariton topological insulators

Chunyan Li,1 Fangwei Ye,1,* Xianfeng Chen,1 Yaroslav V. Kartashov,2,3,4 Albert Ferrando,3
Lluis Torner,2,6 and Dmitry V. Skryabin4,7
1Key Laboratory for Laser Plasma (Ministry of Education), Collaborative Innovation Center of IFSA (CICIFSA),
Department of Physics and Astronomy, Shanghai Jiao Tong University, Shanghai 200240, China
2ICFO-Institut de Ciencies Fotoniques, The Barcelona Institute of Science and Technology, 08860 Castelldefels (Barcelona), Spain
3Institute of Spectroscopy, Russian Academy of Sciences, Troitisk, Moscow, 142190, Russia
4Department of Physics, University of Bath, BA2 7AY Bath, United Kingdom
5Departament d’Optica, Interdisciplinary Modeling Group, InterTech, Universitat de València, 46100 Burjassot (València), Spain
6Universitat Politècnica de Catalunya, 08034 Barcelona, Spain
7ITMO University, St. Petersburg 197101, Russia

*Corresponding author: fangweiye@sjtu.edu.cn

We predict that the interplay between the spin-orbit coupling, stemming from the transverse electric–transverse magnetic energy splitting, and the Zeeman effect in semiconductor microcavities supporting exciton-polariton quasiparticles, results in the appearance of unidirectional linear topological edge states when the top microcavity mirror is patterned to form a truncated dislocated Lieb lattice of cylindrical pillars. Periodic nonlinear edge states are found to emerge from the linear ones. They are strongly localized across the interface and they are remarkably robust in comparison to their counterparts in honeycomb lattices. Such robustness makes possible the existence of nested unidirectional dark solitons that move steadily along the lattice edge.

DOI: 10.1103/PhysRevB.97.081103

Topological insulation is a recently discovered fundamental phenomenon that spans across several areas of physics, such as condensed matter, ultracold gases, and photonics [1,2]. In contrast to conventional insulators, topological ones admit conductance at the interfaces between materials with different topologies. This conductance is a consequence of the existence of the in-gap discrete energy states spatially localized at the boundaries and exhibiting unidirectional propagation. The latter is topologically protected and hence stays immune to the backward scattering and energy leakage to the bulk insulator modes even when encountered with strong lattice defects and disorder [1,2]. First experiments about topological insulators were performed in electronic systems where one of the mechanisms of topological protection relies on the spin-orbit interaction of electrons in a magnetic field [1,2]. More recently, studies on topological edge effects have been extended to electromagnetic and to mixed optoelectronic systems [3]. Topological edge states have been proposed and observed in gyromagnetic photonic crystals [4,5], semiconductor quantum wells [6], arrays of coupled resonators [7,8], metamaterial superlattices [9], helical photonic waveguide arrays [10,11], systems with driving fields containing vortex lattices [12], and in polariton microcavities, where strong photon-exciton coupling leads to the formation of half-light half-matter polariton quasiparticles [13–17].

Matter-wave and polaritonic systems are especially attractive in the context of topological photonics because they are strongly nonlinear [18–26] and therefore potentially applicable in classical and quantum information processing schemes.

Examples of recently reported nonlinear topological effects include solitons in the bulk [27] and at the edges [28–30] of topological insulators made from the honeycomb lattices of helical photonic waveguides. We also mention here prior reports of nontopological nonlinear edge states in photonic lattices [31,32]. Microcavity exciton polaritons represent a viable alternative to photons as a nonlinear platform for topological effects [13,33–35]. Polaritonic systems are planar and rely on the interplay between the spin-orbit coupling (SOC) with the Zeeman energy splitting of the polariton energy levels induced by a magnetic field. They have been shown to support long-living topological solitons in honeycomb [36] and kagome lattice potentials [37].

Recently, Lieb lattice potentials have attracted significant attention due to their flat energy bands [38–42] associated with infinite mass bosons. This implies that the dispersion and kinetic energy are suppressed [40] and therefore the system dynamics is dominated by a multiparticle interaction, i.e., by nonlinear effects. Bosonic condensation, fractional Hall effects, and the topological insulator regimes in the flat bands and more generally in the Lieb lattices can be qualitatively different from those known for quasiparticles with a well-defined effective mass [38,39,41,43,44].

In this Rapid Communication, we show that robust nonlinear topological edge states exist in the polariton excitations embedded in dislocated Lieb lattice potentials (a variant of a Lieb lattice obtained by vertical displacement of its adjacent unit cells by a half period [45,46] that admits substantially larger topological gaps than the usual Lieb lattice), in the presence of SOC and Zeeman splitting. We show that the nonlinear properties of Lieb polariton topological insulators are dominated by the existence of robust, topological dark edge solitons.
We model the evolution of the spinor polariton wave function $\Psi = (\psi_+, \psi_-)^T$ in a lattice of microcavity pillars by the system of two coupled Gross-Pitaevskii equations \[ i \frac{\partial \psi_\pm}{\partial t} = -\frac{1}{2} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \psi_\pm + \beta \left( \frac{\partial}{\partial x} \mp \frac{\partial}{\partial y} \right)^2 \psi_\pm + R(x,y) \psi_\pm \pm (|\psi_\pm|^2 + \sigma |\psi_\mp|^2) \psi_\pm, \] (1)

Here, $\psi_\pm$ are the spin-positive and spin-negative wave-function components in the circular polarization basis. They are related to the wave functions corresponding to the transverse electric (TE) (subscript $y$) and transverse magnetic (TM) (subscript $x$) polarizations as $\psi_\pm = (\psi_x \mp i \psi_y)/\sqrt{2}$. The spin-orbit coupling term $-\beta$ originates in the TE-TM energy splitting of the cavity resonances in the lattice-free environment \[47\]. $\Omega$ is the Zeeman splitting in the external magnetic field. Polaritons with the same spins repel and with the opposite ones attract. The latter implies that the parameter $\sigma$ of the cross-spin interaction is negative. We fix $\sigma = -0.05$ \[22\]. The potential landscape $R(x,y) = -p \sum_{m} e^{-((x-x_m)^2+(y-y_m)^2)/d^2}$ is created by the microcavity pillars (the contribution from each pillar is described by a Gaussian function of width $d$ and depth $p$) arranged into the dislocated Lieb lattice. An example of such a lattice with three $y$ periods is shown in Fig. 1(a). The lattice is infinite along the $y$ axis and is truncated along $x$ (we consider truncation creating an interface that can be named bearded by analogy with the honeycomb lattice \[10\]).

In Eq. (1) we assume that all the distances are scaled to $\lambda_0 = 1 \mu m$, all the energy parameters to $\hbar_0 = \hbar/2m\lambda_0^2$, and the time to $t_0 = \hbar_0/\lambda_0$. By selecting the polariton mass parameter $m = 10^{-31} g$, one gets the characteristic energy $\hbar_0 \approx 0.35$ meV and time $t_0 \approx 1.9$ ps. The depth of the potential $p = 8$ corresponds to $\sim 2.8$ meV and the width $d = 0.5$ of each potential well corresponds to $0.5 \mu m$. If taken in isolation, such pillars support only the ground-state mode. We set the spacing between micropillars to be $a = 1.4$, corresponding to $1.4 \mu m$. Since the existence of the topological edge states is not connected with the presence of losses, here we focus on the quasiconservative limit, which has been previously studied both experimentally \[19,23,24,34\] and theoretically \[13,14,47\].

First, we consider the spectrum of the linear Bloch modes $\Psi_{\pm}(x,y,t) = u_{\pm}(x,y)e^{i\varepsilon_{\pm}t}$ that are periodic along the $y$ axis $[u_{\pm}(x,y) = u_{\pm}(x,y + 2\pi)]$ and localized along the $x$ axis $[u_{\pm}(x \to \infty,y) = 0]$. Here, $k$ is the Bloch momentum and $\varepsilon$ is the energy shift relative to the bottom of the polariton energy-momentum characteristic. A unit cell used for the calculation of the Bloch modes contains 21 periods along $x$ and 1 along the $y$ direction [Fig. 1(a)]. Typical spectra $\varepsilon(k)$ are shown in Fig. 2 for $k \in [0,K], K = \pi/a$ is the Brillouin zone width. Due to the spinor nature of our problem, the spectrum consists of two families of bands, which are degenerate if SOC and Zeeman splitting are disregarded, $\Omega = 0, \beta = 0$. Accounting for the Zeeman splitting $\Omega = 0.5$ leads to a relative shift of the two families by $\delta \varepsilon = 2\Omega$, as shown in Fig. 2(a). When $\beta = 0$, the system does not admit topological edge states [Fig. 2(a)]. Inclusion of SOC into the system ($\beta \neq 0$) at $\Omega \neq 0$ breaks the time-reversal symmetry of Eq. (1). Following Ref. [4], this should lead to the opening of topological gaps around special points in the Lieb lattice spectrum, and, if the lattice is truncated, to the appearance of topological in-gap edge states branching off the boundaries of the bulk bands. Topological gaps open wider with the increase of SOC. Topological edge states found for $\beta = 0.3$ are shown as red, green, blue, and magenta curves in Fig. 2(b), while the black curves correspond to the bulk modes. The states with the same energy $\varepsilon$, but with different momenta $k < K/2$ and $k > K/2$, reside on the opposite edges of the lattice (cf. the second and fifth rows in Fig. 1) and have opposite group velocities $v' = \partial \varepsilon/\partial k$. This is a direct manifestation of unidirectional edge transport in the Lieb polariton topological insulator. Thus, states from blue and green branches reside on the left edge, while states from red and magenta branches reside on the right edge (see examples in Fig. 1). Edge localization is most pronounced when the topological gap state has energy close to the center of the gap and decreases when it approaches the band. All the edge states in Fig. 2 feature dominating $\psi_-$ and weak $\psi_+$ components—a consequence of the selected sign.
of the magnetic field. First-order $\epsilon' = \partial \epsilon / \partial k$ and second-order $\epsilon'' = \partial^2 \epsilon / \partial k^2$ dispersion coefficients associated with the blue and magenta topological branches are shown in Fig. 3(a). While $\epsilon'$ can change its sign upon a variation of $k$, the $\epsilon''$ coefficient remains positive for selected branches.

To confirm that the above edge states are indeed topological we calculated the Chern numbers associated with the infinite lattice using the algorithm described in Ref. [48]. The Chern number for the $n$th band is given by $C_n = (2\pi i)^{-1} \int_G F(k) d^2 k$, where $G$ denotes the first Brillouin zone and the function $F(k) = \partial_x A_x(k) - \partial_y A_y(k)$ can be determined from the Berry connection $A_{x,y}(k) = \langle \psi(k) | \partial / \partial x,y(k) \rangle \psi(k)$. Our calculations confirmed that for $\Omega = 0.5$ at $\beta = 0$, i.e., without SOC, the Chern numbers for all bands are zero. This is consistent with the absence of unidirectional topological edge states at $\beta = 0$. However, when $\beta \neq 0$ at $\Omega = 0.5$, the calculated Chern numbers for the first three bands (from the bottom to top) are $-1$, $0$, and $1$, respectively. This means that the gap Chern numbers for the first three gaps are $-1$, $-1$, and $0$, a direct indication of the appearance of one topological edge mode (per interface) in the first and the second gap, and no edge modes in the third gap. Note that these results are consistent with the modal analysis for the truncated dislocated Lieb lattice discussed above (our lattice is truncated on both sides, so that the number of edge states in each gap is twice the respective gap Chern number). Moreover, to show that the inclusion of SOC transforms nontopological modes into topological ones, we depict in Fig. 4 the variation of the profile of the mode from the green branch at $k = 0.40$ K with the increase of $\beta$. At $\beta = 0$ the distribution of $|\psi_-|$ is symmetric in $x$, and the field is nonzero at both interfaces. Increasing $\beta$ leads to the appearance of the topological edge state, whose localization increases with $\beta$.

As a third indication of the topological nature of the linear edge states we studied their evolution in a lattice where one pillar at the lattice edge is missing. The propagation of the edge state with a Gaussian envelope in such a lattice with a defect is displayed in Fig. 5. The wave packet smoothly bypasses the defect without noticeable backscattering or radiation into the bulk. The topological protection for these edge modes is thus evident.

Having elucidated the existence of unidirectional edge states in Lieb polariton insulators, we now focus on nonlinear edge states. They are sought in the form $\psi(\mathbf{r},t) = u_\pm(\mathbf{r},t) e^{i \mathbf{k}_0 \cdot \mathbf{r} - \mu t}$, where $\mu$ is the nonlinearity-induced energy shift ($\mu$ becomes $\epsilon$ in the linear limit), and $u_\pm(\mathbf{r},t)$ is periodic in $y$ with period $2a$. The nonlinear edge states are expected to exist for $\mu(k) \geq \epsilon(k)$. We computed them numerically using the Newton method in the Fourier domain and characterized them using the peak amplitudes of the spin-positive $a_+ = \max |\psi_+|$ and the spin-negative $a_- = \max |\psi_-|$ components, and the norm per $y$ period, $U = \int_0^{2a} dx \int_{-\infty}^{+\infty} d\mu (|\psi_+|^2 + |\psi_-|^2)$. These parameters are plotted in Fig. 3(b) as functions of $\mu$ for the edge state bifurcating from the blue linear branch of Fig. 2(b) at $k = 0.2$ K. The nonlinear edge states appear to be thresholdless, since $a_\pm$ vanish in the bifurcation point. One has $a_- > a_+$ for the nonlinear states, a property inherited from the linear limit. The norm and the peak amplitudes monotonically increase with $\mu$ until the edge of the second linear band is reached for a given $k$ (dashed line). When $\mu$ crosses the edge of the band, the nonlinear mode loses its localization due to coupling with the bulk modes.

FIG. 3. Properties of the linear and nonlinear edge states. (a) First-order $\epsilon'$ and second-order $\epsilon''$ derivatives of the energy of the linear edge state vs momentum $k$. (b) Peak amplitudes of $\psi_\pm$ components and norm per $y$ period at $k = 0.20$ K vs $\mu$ for the nonlinear edge states. The dashed line indicates the border of the topological gap. In all cases, $\beta = 0.3$, $\Omega = 0.5$.

FIG. 4. Transformation from a nontopological to a topological edge state (green branch from Fig. 2) with an increase of $\beta$, at $k = 0.40$ K. Only $|\psi_-|$ is shown.

FIG. 5. Passage of linear edge state with $k = 0.30$ K and broad envelope from the blue branch in Fig. 2(b) through a surface defect without noticeable backscattering at $\beta = 0.3$, $\Omega = 0.5$. 081103-3
One of the most important features of the dislocated Lieb polaron insulator is the robustness of the nonlinear edge states bifurcating from the linear states corresponding to the blue and magenta lines in Fig. 2(b). We studied the stability of the nonlinear edge states by perturbing them with the broadband input noise (up to 5% in amplitude), so that all possible perturbation modes were excited. We calculated the evolution of these perturbed states over long times using a split-step fast Fourier method. We found that for nonlinear modes with a positive dispersion $\varepsilon''$ and no signs of instability development are seen even at $t = 10^5$ (which corresponds to 1.9 ns, notably exceeding the typical lifetime of polaron condensates observed in experiments). An example of robust evolution is shown in Fig. 6 for the edge state corresponding to the red dot in Fig. 3(b) (the energy of this state falls into a topological gap, hence coupling with bulk modes is excluded). This is in sharp contrast to the situation encountered in honeycomb lattices [36], where all extended nonlinear Bloch waves are clearly unstable. Metastability was encountered only for nonlinear Bloch modes from the second gap, but not for the modes from the first gap. This phenomenon is one of the central results of this Rapid Communication, and it suggests the use of nonlinear Bloch waves in dislocated Lieb lattices as a suitable background for topological dark solitons.

Instabilities of Bloch waves from the second gap may show up only very close to the edge of the third band [i.e., close to the dashed line in Fig. 3(b) indicating a band edge]. When decreasing the value of $\mu$, the instability development takes more and more time, and in the final run instability becomes undetectable, even though, importantly, the peak amplitude of the corresponding nonlinear edge state is not small (stable solutions can have amplitude $a \sim 0.7$), hence nonlinear effects are still strong. At the same time, instabilities are strong for nonlinear states bifurcating from the red and green branches in Fig. 2(b).

The robustness of the topological nonlinear edge states in a dislocated Lieb lattice suggests the possibility of the existence of topological dark solitons nesting inside the infinitely extended states. To obtain their envelope analytically, we rewrite Eq. (1) as $i\partial \Psi/\partial t = L\Psi + N\Psi$, where the operators $L,N$ account for the linear and nonlinear terms, respectively, and then substitute the following integral expression, $\Psi(x,y,t) = \int^{+K/2}_{-K/2} A(\kappa,t) u(x,y,k+\kappa) e^{i(k+\kappa)yt} d\kappa$. Here, the spinor $u = (u_+, u_-)^T$ solves the linear equation $(L - \varepsilon) u e^{iy\kappa} = 0$, i.e., it corresponds to the linear Bloch state with momentum $\kappa$. Here, $\kappa$ is the offset from the carrier momentum $k$, and $A(\kappa,t)$ is an unknown spectrally narrow function localized in $\kappa$. Using a Taylor series expansion for the spinor $u(k+\kappa)$, the integral expressions for $\Psi$ and $L\Psi$ take the form

$$
\Psi(x,y,t) = e^{i\kappa y - \mu t} \sum_{n=0}^{\infty} [i^n n!^{-1}] [\partial^n A(\kappa,y,t)/\partial \kappa^n] [\partial^n A(\kappa,y,t)/\partial y^n], \quad (3)
$$

$$
L\Psi(x,y,t) = e^{i\kappa y - \mu t} \sum_{n=0}^{\infty} [i^n n!^{-1}] \left[ \partial^n (\varepsilon u) / \partial \kappa^n \right] [\partial^n A(\kappa,y,t)/\partial y^n]. \quad (4)
$$

where $A(\kappa,y,t) = \int^{+K/2}_{-K/2} A(\kappa,t) e^{i\kappa y} d\kappa$ is the envelope function to be found. Assuming that $u$ changes with $k$ much slower than the energy $\varepsilon$, one can keep only the $n = 0$ term in Eq. (3), so that $\Psi = A u e^{i\kappa y - \mu t}$, and use $\partial^n (\varepsilon u) / \partial \kappa^n \approx u \partial^n \varepsilon / \partial \kappa^n$ in Eq. (4), where we keep derivatives of energy $\varepsilon$ up to the second order only. We then project $i\partial \Psi/\partial t = L\Psi + N\Psi$ on $u,$ and after some tedious calculations find the required envelope equation,

$$
i \frac{\partial A}{\partial t} = -i \varepsilon' \frac{\partial A}{\partial y} - \frac{1}{2} \varepsilon'' \frac{\partial^2 A}{\partial y^2} + g A|A|^2, \quad (5)
$$

where $\varepsilon' = \partial \varepsilon / \partial k,$ $\varepsilon'' = \partial^2 \varepsilon / \partial k^2,$ and $g = \int u A^* u dx dy / \int u^2 u dx dy$ is the positive nonlinear coefficient. When $\varepsilon'' > 0$, Eq. (5) predicts the absence of modulation instability and the existence of dark solitons (robust nonlinear edge states are possible exactly in the $\varepsilon'' > 0$ domain). The function describing the envelope of the dark soliton is given by $A(y,t) = ([\mu - \varepsilon]/g)^{1/2} \tanh([([\mu - \varepsilon]/\varepsilon'')^{1/2}(y - \varepsilon t)]) e^{i(\varepsilon - \mu t)\varepsilon} \mu - \varepsilon \gg 0$ is the energy shift due to repulsive nonlineararity that should be kept small to ensure that $A(y,t)$ is broad relative to the lattice period. A similar approach to the construction of moving bright solitons was applied in Refs. [49–52].

In Fig. 7 we show the evolution of a soliton-carrying edge state $\Psi = A u e^{i\kappa y - \mu t}$ constructed using the envelope function $A(y,t)$ found above and the Bloch modes $u = (u_+, u_-)^T$ from the blue branch of Fig. 2(b) for $\mu - \varepsilon = 0.02$ and the momentum $k = 0.2$ K corresponding to $\varepsilon'' > 0$. The top row shows the nonlinear case, while in the bottom row the nonlinearity
FIG. 7. Stable evolution of a dark soliton nested in the edge state (blue branch in Fig. 2) at $\mu - \varepsilon = 0.02$, $k = 0.20 \, \text{K}$, $\beta = 0.3$, and $\Omega = 0.5$ in the nonlinear cases (top row) and its spreading in the linear case (bottom row). The middle row shows the width of the dark spot as a function of time. Due to strong vertical displacement experienced by the soliton, the distribution at $t = 820$ was shifted vertically.

in Eq. (1) was switched off. A dark soliton traverses hundreds of lattice periods, but experiences only small oscillations of the width of its notch (see the curve labeled $w_{\text{nl}}$ in the central panel). No signs of background instability are visible and there is almost no radiation into the bulk of the lattice. By and large, dark solitons superimposed on a metastable nonlinear Bloch wave background are metastable objects, too. However, they are excited from rather general input conditions and propagate over long time intervals ($t > 10^3$) exceeding the realistic current lifetime of polariton condensates. Therefore, they should be readily observable experimentally. In contrast, the same state strongly disperses in the linear medium, as visible in the evolution of the corresponding width $w_{\text{lin}}$ in the central panel of Fig. 7. For the larger energy offsets, $\mu - \varepsilon \sim 0.1$, comparable to the width of the existence domain of the nonlinear edge states, the initial dark soliton becomes gray upon evolution and its velocity deviates from $\varepsilon'$. We also studied the impact of losses on the dynamics of the dark solitons by using a dissipative version of Eq. (1) with the additional loss term $-i \alpha \Psi$ included in the right-hand side of the equation. We used $\alpha = 0.01$ corresponding to a polariton lifetime $\sim 190 \, \text{ps}$, as in state-of-the-art experiments [36]. As expected, the soliton amplitude decreases adiabatically and its width self-adjusts in accordance with its instantaneous amplitude. Consistent with expectations, up to $t < 1/\alpha$ the dynamics is nonlinear with the width-amplitude ratio closely corresponding to the theoretical predictions. For $t > 1/\alpha$ the dynamics becomes effectively linear since the amplitude drops significantly.

Summarizing, we have shown that a dislocated Lieb lattice supports topological polariton edge states in both linear and nonlinear regimes. The nonlinear edge states bifurcating from the linear branches were found to be remarkably robust in contrast to the honeycomb lattices [36]. We also discovered topological dark solitons embedded within the nonlinear edge states. Our results can be applicable for photonic Lieb lattices [53] made from helical waveguides and cold atom systems [43, 44].

D.V.S. acknowledges support from the ITMO University Visiting Professorship (Grant No. 074-U01). L.T. and Y.V.K. acknowledge support from the Severo Ochoa (SEV-2015-0522) of the Government of Spain, Fundacíó Cellex, Fundació Mir-Puig, Generalitat de Catalunya, and CERCA. Y.V.K. acknowledges funding of this study by RFBR and DFG according to the research Project No. 18-502-12080. C.L. and F.Y. acknowledge support of the NSFC (No. 61475101).


Y. V. Kartashov and D. V. Skryabin, Modulational instability and solitary waves in polariton topological insulators, Optica 3, 1228 (2016).


